

Attorney Docket No. 3556 P 006

2821  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. National Application of:

Christopher DAVIES

Serial No.: 10/519,634

Filed: December 28, 2004

Entitled: A PHASE SHIFTING DEVICE

)  
) Examiner: Unassigned  
)  
)  
) Group Art Unit: 2821  
)  
)

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COMMUNICATION

Dear Sir:

Applicant submits the Statement under 37 C.F.R. § 3.73(b), along with a copy of the Assignment which was filed with the U.S. Patent and Trademark Office on August 5, 2005. Applicant requests that the above-formal documents be made part of the application papers filed on December 28, 2004. Additionally, please associate this application with Customer Number **23424**. Applicant submits a Power of Attorney from the Assignee of Record for this purpose.

Please charge any fees associated with this Communication or credit any overpayment to our Deposit Account No. 23-0280. A duplicate copy of this paper is enclosed for that purpose.

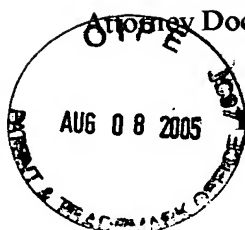
Dated: August 5, 2005

By: Monique A. Morneault  
Monique A. Morneault, Reg. No. 37,893  
Wallenstein Wagner & Rockey, Ltd.  
311 South Wacker Drive, 53rd Floor  
Chicago, Illinois 60606-6630  
(312) 554-3300  
Attorneys for Applicant

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 5, 2005.

Natha Conerly  
Natha Conerly 229837v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**POWER OF ATTORNEY**

Inventor(s):	CHRISTOPHER DAVIES
Entitled:	A PHASE SHIFTING DEVICE

I hereby appoint:

Practitioners associated with the Customer Number 23424 as my attorney(s) or agent(s) to prosecute the application identified above and to transact all business in the United States Patent and Trademark Office connected therewith.

- ☐ Please send correspondence and direct telephone calls for the above-identified application to the address associated with the above-identified Customer Number, and specifically to the individual listed below:

Name:

**OR**

Please change the correspondence address for the above-identified application to:

- ☒ the address associated with Customer Number

23424

**OR**

- ☐ Firm or Individual Name:

Address:

Address:

City:

State:

Zip:

Country:

Telephone:

Fax:

I am the:

- ☐ Applicant/Inventor.
- ☒ Assignee of record of the entire interest. See 37 C.F.R. § 3.71  
Statement under 37 C.F.R. § 3.73(b) is enclosed

**SIGNATURE of Applicant or Assignee of Record**

Name:

*Paul Murphy*

Signature:

*\* Paul Murphy \**

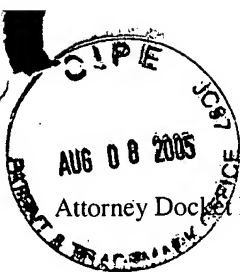
Date:

17/12/2004

Phone: 44(0)1242 518500

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.\*

☐ \*Total of \_\_\_\_\_ forms are submitted.



Attorney Docket No. 3556 P 006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

STATEMENT UNDER 37 C.F.R § 3.73(b)

Applicant/Patent Owner: Christopher DAVIES

Application No./Patent No.: 10/519,634 Filed/Issue Date: December 28, 2004

Entitled: A PHASE SHIFTING DEVICE

Alan Dick & Company Limited, a United Kingdom corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:  
A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment  
was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_ Frame \_\_\_\_\_  
or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current  
assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents on the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document)  
must be submitted to Assignment Division in accordance with 37 C.F.R. Part 3, if the assignment is to be  
recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

x 09 FEBRUARY 2005  
Date

x +44(0)1242 518500  
Telephone number

x PAUL MURPHY  
Typed or printed name

x Paul Murphy  
Signature

Mr

Title

ASSIGNMENTApplication No. 10/519,634Filing Date: December 28, 2004

Based on PCT/GB2003/002743

IN CONSIDERATION of good and valuable considerations, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in "A PHASE SHIFTING DEVICE" and in the application for Letters Patent of the United States therefor, executed by the undersigned concurrently herewith and in any reissue or extension of any Letters Patent that may be granted upon said application are hereby assigned by the undersigned to Alan Dick & Company Limited, a corporation of Great Britain, and the successors, legal representatives and assigns of Alan Dick & Company Limited, (hereinafter collectively called said Assignee), and the Commissioner of Patents is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

FOR SAID CONSIDERATIONS it is hereby agreed by the undersigned, upon the request of said Assignee, to execute any necessary and proper oaths or affidavits relating to said application or required for the filing or prosecution of any divisional, continuation or continuation-in-part application thereof or for the filing or prosecution of any application for the reissue or extension of any Letters Patent that may be granted on said invention or improvements that said Assignee may deem necessary or expedient, and for the said consideration it is further agreed by the undersigned, upon the request of said Assignee, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in interference, to cooperate to the best of the ability of the undersigned with said Assignee in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, and further to perform, upon such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in the said Assignee as fully and entirely as the same would have been held and enjoyed by the undersigned if this Assignment and sale had not been made. And for the said considerations the entire right, title and interest in said invention or improvements, including all priority rights, and the right to claim priority rights and the privileges and benefits thereof, including those under the International Convention, and all other conventions, and the right to file applications for patent in said Assignee's own name for said invention or improvements in each and every country of the world are hereby Assigned and granted by the undersigned to said Assignee. It is further agreed by the undersigned, upon the request of said Assignee, to execute any and all documents that shall be required of the undersigned to be executed in connection with any and all applications for foreign letters patent therefor, including the prosecution thereof, and to execute any and all documents necessary to vest title in said foreign applications and patents in said Assignee. The attorneys of record in said application for patent are hereby authorized and requested by the undersigned to insert in this Assignment the date and Serial Number thereof in the places provided therefor.

X C T Davies X  
CHRISTOPHER DAVIES

X 15/12/04 X  
Date

X Jaffer - Iphigeneia Sarra  
Witness